PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
er the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004 09/843,841 rsuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number TRANSMITTAL Filing Date April 30, 2001 Mark J. Stender First Named Inventor For FY 2005 **Examiner Name** V. D. Koppikar Applicant claims small entity status. See 37 CFR 1.27 3626 Art Unit TOTAL AMOUNT OF PAYMENT SPLT-P01-001 180.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check None Credit Card Money Order Other (please identify): Deposit Account Deposit Account Number: 18-1945 Deposit Account Name Fish & Neave IP Group, Ropes & Gray LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) x Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES **EXAMINATION FEES** SEARCH FEES **Small Entity** Small Entity Small Entity **Application Type** Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) <u>Fee (\$)</u> Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 80 150 160 Reissue 300 150 500 250 600 300 Provisional 200 100 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 360 **Total Claims** Extra Claims Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE

If the specification a	and drawings exce	ed 100 s	heets of paper (excluding electronically filed	sequence of	computer
listings under 37	CFR 1.52(e)), the	applicat	tion size fee due is \$250 (\$125 for small entity	) for each	additional 50
sheets or fraction	thereof. See 35	U.S.C. 41	I(a)(1)(G) and 37 CFR 1.16(s).		
Total Sheets	Extra Sheets	<u>Ni</u>	umber of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 10	) =	/50	(round up to a whole number) x		=

4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

Signature // M	Registration No. (Attorney/Agent)	54,130	Telephone	(617) 951-7066
Name (Print/Type) Adward A. Gordon, Esq.			Date	October 4, 2006

I hereby certify that this paper (along with an the date shown below with sufficient postage	y paper referred to as being attact as First Class Mail, in an envelor	ned or enclosed) is be be addressed to: MS A	ing deposited with the U.S. Postal Service on Amendment, Commissioner for Patents, P.O.
Box 1450, Alexandra, VA 22313-1450.	_		, , , , , , , , , , , , , , , , , , , ,
Dated: _/0/4/06	Signature Joseph	Repen	(Joanne Ryan)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: SPLT-P01-001

(PATENT)

OCT O 6 7006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Patent Application of:

Mark J. Stender

Application No.: 09/843,841

Confirmation No.: 1028

Filed: April 30, 2001

Art Unit: 3626

For:

SYSTEM AND METHOD FOR PROVIDING

Examiner: V. D. Koppikar

WEB-BASED USER INTERFACE TO

LEGACY, PERSONAL-LINES INSURANCE

APPLICATIONS

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

> 10/10/2006 CNGUYEN 00000007 181945 09843841 01 FC:1806 180.00 DA

10218010 1

Application No.: 09/843,841 Docket No.: SPLT-P01-001

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. SPLT-P01-001. A duplicate copy of this paper is enclosed.

Dated: October 4, 2006

Respectfully submitted,

Edward A. Gordon, Esq.

Registration No.: 54,130

FISH & NEAVE IP GROUP, ROPES & GRAY

LLP

One International Place

Boston, Massachusetts 02110-2624

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys/Agents For Applicant

PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. stitute for form 1449A/B/PTO

1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

l	•	•		• • • • • • • • • • • • • • • • • • • •
Sheet	1		of	

Complete if Known				
Application Number	09/843,841			
Filing Date	April 30, 2001			
First Named Inventor	Mark J. Stender			
Art Unit	3626			
Examiner Name	V. D. Koppikar			
Attorney Docket Number	SPLT-P01-001			

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	mber Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AF	US-2002-0091550	07/2002	White et al		
	AG	US-2002-0026334	02/2002	Igoe, Edward W.		
	AH	US-2002-0116228	08/2002	Bauer et al		
	ΑI	US-5,893,072-A	04/1999	Zizzamia, Frank M		
	AJ	US-2002-0002475	01/2002	Freedman et al		
	AK	US-2002-0042763	04/2002	Pillay et al		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible ³ Applicant is to place a check mark here if English language Translation is attached. possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Microsoft Press, Microsoft Corporation, MICROSOFT PRESS COMPUTER DICTIONARY, 3rd Edition, c. 1997, pgs. 268 and 305	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	_
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.